

**LIST OF REFERENCES CITED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

10165-010

APPLICATION NO.

09/717,057

APPLICANT

Brines et al.

FILING DATE

November 21, 2000

GROUP

TBA

1647

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WMD	AA	5,888,772	3/30/99	Okasinski et al.			
	AB	5,856,298	1/5/99	Strickland			
	AC	5,835,382	11/10/98	Wilson et al.			
	AD	5,830,851	11/3/98	Wrighton et al.			
	AE	5,773,569	6/30/98	Wrighton et al.			
	AF	5,767,078	6/16/98	Johnson et al.			
	AG	5,714,459	2/3/98	O'Brien			
	AH	5,700,909	12/23/97	O'Brien			
	AI	5,696,080	12/9/97	O'Brien			
	AJ	5,661,125	8/26/97	Strickland			
	AK	5,621,080	4/15/97	Lin			
	AL	5,614,184	3/25/97	Sytkowski et al.			
	AM	5,571,787	11/5/96	O'Brien et al.			
	AN	5,457,089	10/10/95	Fibi et al.			
	AO	4,835,260	5/30/89	Shoemaker			
	AP	4,806,524	2/21/89	Kawaguchi et al.			
WMD	AQ	4,703,008	10/27/87	Lin			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
WMD	AR	WO 00/35475	6/22/00	PCT (in German w/English abstract)			X	
	AS	WO 98/18926	5/7/98	PCT				
	AT	WO 97/32895	12/12/97	PCT				
WMD	AU	WO 97/18318	5/22/97	PCT (in Japanese w/English abstract)				X
	AV	WO 95/05465	2/23/95	PCT				

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WMD	AW	Bernaudo et al., 1999, "A potential role for erythropoietin in focal permanent cerebral ischemia in mice", J. Cereb. Blood Flow Metab. 19:643-651
	AX	Bondy, 1995, "The relaxation of oxidative stress and hyperexcitation to neurological disease", Proc. Soc. Exp. Biol. Med. 208:337-345
	AY	Brines et al., 2000, "Erythropoietin crosses the blood-brain barrier to -protect against experimental brain injury", Proc. Natl. Acad. Sci. USA 97:10526-10531
WMD	AZ	Campana et al., 1998, "Identification of a neurotrophic sequence in erythropoietin", Int. J. Mol. Med. 1:235-241

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BA	Digicaylioglu et al., 1995, "Localization of specific erythropoietin binding sites in defined areas of the mouse brain.", Proc. Natl. Acad. Sci. USA 92:3717-3720
BB	Dipaolo et al., 1992, "Effects of uremia and dialysis on brain electrophysiology after recombinant erythropoietin treatment", ASAIO J. 38:M477-M480
BC	Grimm et al., 1990, "Improvement of brain function in hemodialysis patients treated with erythropoietin", Kidney Intl. 38:480-486
BD	Hefti, 1997, "Pharmacology of neurotrophic factors", Annu. Rev. Pharmacol. Toxicol. 37:239-267
BE	Hengemihle et al., 1996, "Chronic treatment with human recombinant erythropoietin increases hematocrit and improves water maze performance in mice", Physiol. Behav. 59:153-156
BF	Hirakata et al., 1992, "CBF and oxygen metabolism in hemodialysis patients: effects of anemia correction with recombinant human EPO", Am. J. Physiol. 262:F737-F743
BG	Juul et al., 1998, "Erythropoietin and erythropoietin receptor in the developing human central nervous system", Pediatr. Res. 43:40-49
BH	Konishi et al., 1993, "Trophic effect of erythropoietin and other hematopoietic factors on central cholinergic neurons in vitro and in vivo", Brain Res. 609:29-35
BI	Kopf et al., 1994, "Memory-improving actions of glucose: involvement of a central cholinergic muscarinic mechanism.", Behav. Neural Biol. 62:237-243
BJ	Latini et al., 1998, "Comparative efficacy of a DA2/ $\alpha$ 2 agonist and a $\beta$ -blocker in reducing adrenergic drive and cardiac fibrosis in an experimental model of left ventricular dysfunction after coronary artery occlusion", J. Cardiovasc. Pharmacol. 31:601-608
BK	Li et al., 1998, "A single pre-training glucose injection induces memory facilitation in rodents performing various tasks: contribution of acidic fibroblast growth factor", Neurosci. 85:785-794
BL	Lipinski et al., 1995, "Nerve growth factor facilitates conditioned taste aversion learning in normal rats", Brain Res. 692:143-153
BM	Liu et al., 1997, "Regulated human erythropoietin receptor expression in mouse brain", J. Biol. Chem. 272:32395-32400
BN	Liu et al., 1994, "Tissue specific expression of human erythropoietin receptor in transgenic mice", Devel. Biol. 166:159-169
BO	Marrero et al., 1998, "Erythropoietin receptor-operated $Ca^{2+}$ channels: activation by phospholipase C- $\gamma$ 1", Kidney Intl. 53:1259-1268
BP	Marsh et al., 1991, "rHuEPO treatment improves brain and cognitive function of anemic dialysis patients", Kidney Intl. 39:155-163
BQ	Marti et al., 1997, "Detection of erythropoietin in human liquor: intrinsic erythropoietin production in the brain", Kidney Intl. 51:416-418
BR	Marti et al., 1996, "Erythropoietin gene expression in human, monkey and murine brain", Eur. J. Neurosci. 8:666-676
BS	Masuda et al., 1997, "Insulin-like growth factors and insulin stimulate erythropoietin production in primary cultured astrocytes", Brain Res. 746:63-70
BT	Masuda et al., 1994, "A novel site of erythropoietin production. Oxygen-dependent production in cultured rat astrocytes", J. Biol. Chem. 269:19488-19493
BU	Masuda et al., 1993, "Functional erythropoietin receptor of the cells with neural characteristics. Comparison with receptor properties of erythroid cells", J. Biol. Chem. 268:11208-11216

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<i>Rum</i>	BV	Morishita et al., 1997, "Erythropoietin receptor is expressed in rat hippocampal and cerebral cortical neurons, and erythropoietin prevents <del>in vitro</del> glutamate-induced neuronal death", Neurosci. 76:105-116
	BW	Moss and Scholey, 1996, "Oxygen administration enhances memory formation in healthy young adults", Psychopharmacol. 124:255-260
	BX	Nakamura et al., 1998, "Elevated levels of erythropoietin in cerebrospinal fluid of depressed patients", Am. J. Med. Sci. 315:199-201
	BY	Nissenson et al., 1991, "Recombinant human erythropoietin and renal anemia: molecular biology, clinical efficacy and nervous system effects", Ann. Int. Med. 114:402-416
	BZ	Nissenson, 1989, "Recombinant human erythropoietin: impact on brain and cognitive function, exercise tolerance, sexual potency and quality of life", Sem. Nephrol. 9(suppl. 2):25-31
	CA	Ogden, 1989, "Monitoring considerations in recombinant human erythropoietin therapy", Sem. Nephrol. 9(suppl. 2):12-15
	CB	Pardridge, 1997, "Drug delivery to the brain", J. Cerebral Blood Flow Metab. 17:713-731
	CC	Pardridge et al., 1991, "Selective transport of an anti-transferrin receptor antibody through the blood-brain barrier <i>in vivo</i> ", J. Pharmacol. Exp. Ther. 27:66-70
	CD	Poduslo et al., 1994, "Macromolecular permeability across the blood-nerve and blood-brain barriers", Proc. Natl. Acad. Sci. USA 91:5705-5709
	CE	Prendergast et al., 1997, "Nitric oxide synthase inhibition impairs spatial navigation learning and induces conditioned taste aversion", Pharmacol. Biochem. Behav. 57:347-352
	CF	Rose and Audus, 1998, "Receptor-mediated angiotensin II transcytosis by brain microvessel endothelial cells", Peptides 19:1023-1030
	CG	Sadamoto et al., 1998, "Erythropoietin prevents place navigation disability and cortical infarction in rats with permanent occlusion of the middle cerebral artery", Biochem. Biophys. Res. Comm. 253:26-32
	CH	Sakanaka et al., 1998, " <i>In vivo</i> evidence that erythropoietin protects neurons from ischemic damage", Proc. Natl. Acad. Sci. USA 95:4635-4640
	CI	Tabira et al., 1995, "Neurotrophic effect of hematopoietic cytokines on cholinergic and other neurons <i>in vitro</i> ", Int. J. Devl. Neurosci. 13:241-252
	CJ	Wolcott et al., 1989, "Recombinant human erythropoietin treatment may improve quality of life and cognitive function in chronic hemodialysis patients", Am. J. Kidney Dis. 14:478-485
	CK	Wu and Pardridge, 1999, "Neuroprotection with noninvasive neurotrophin delivery to the brain", Neurobiol. 96:254-259
<i>Rum</i>	CL	Yamaji et al., 1996, "Brain capillary endothelial cells express two forms of erythropoietin receptor mRNA", Eur. J. Biochem. 239:494-500

EXAMINER

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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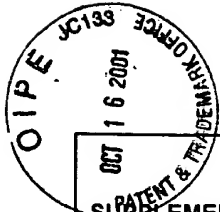
SUPPLEMENTAL LIST OF REFERENCES CITED BY APPLICANT				ATTY. DOCKET NO. 10165-010-999		SERIAL NO. 09/717,057			
				APPLICANT Brines <i>et al.</i>					
				FILING DATE November 21, 2000		GROUP 1647			
U.S. PATENT DOCUMENTS									
EXAMINER INITIAL RND	CR	DOCUMENT NUMBER 4,377,513	DATE 03/22/83	NAME Sugimoto <i>et al.</i>	CLASS —	SUBCLASS —	FILING DATE IF APPROPRIATE —		
FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
RND	CS.	WO 01/82952	11/08/01	PCT					
RND	CT.	WO 01/82953	11/08/01	PCT					
RND	CU.	5-246885	09/24/93	JP-A Kokai					
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RND	CV.	Alafaci <i>et al.</i> , 2000, "Effect of Recombinant Human Erythropoietin on Cerebral Ischemia Following Experimental Subarachnoid Hemorrhage," <i>Eur. J. Phar.</i> , <b>406</b> :219-225.							
	CW.	Annable <i>et al.</i> , 1972, "The Second International Reference Preparation of Erythropoietin, Human, Urinary, for Bioassay," <i>Bull. Org. mond. Sante</i> , <b>47</b> :99-112.							
	CX.	Ashwell <i>et al.</i> , 1978, "A Protein from Mammalian Liver that Specifically Binds Galactose-Terminated Glycoproteins," <i>Meth. Enzymol.</i> , <b>50</b> :287-291.							
	CY.	Bauer, 1995, "The Oxygen Sensor That Controls EPO Production: Facts and Fancies," <i>J. Perinat. Med.</i> , <b>23</b> :7-12.							
	CZ.	Briggs <i>et al.</i> , 1974, "Hepatic Clearance of Intact and Desialylated Erythropoietin," <i>Am. J. Physiol.</i> , <b>227</b> :1385-1388.							
	DA.	Bruneval <i>et al.</i> , 1993, "Erythropoietin Synthesis by Tumor Cells in a Case of Meningioma Associated With Erythrocytosis," <i>Blood</i> , <b>81</b> :1593-1597.							
	DB.	Camiscoli <i>et al.</i> , 1968, "Comparative Assay of Erythropoietin Standards," <i>Annals New York Acad. Sci.</i> , <b>149</b> :40-45.							
	DC.	Claus-Walker and Dunn, 1984, "Spinal Cord Injury and Serum Erythropoietin," <i>Arch. Phys. Med. Rehabil.</i> , <b>65</b> :370-374.							
	DD.	Cotes, 1968, "Quantitative Estimation of Erythropoietin," Part I. Assay and Standardization of Erythropoietin, <i>Annals New York Acad. Sci.</i> , <b>149</b> :12-17.							
	DE.	Cotes and Bangham, 1961, "Bio-Assay of Erythropoietin in Mice Made Polycythaemic By Exposure to Air at a Reduced Pressure," <i>Nature</i> , <b>191</b> :1065-1067.							
	DF.	Cotes and Bangham, 1966, "The International Reference Preparation of Erythropoietin," <i>Bull. Org. mond. Sante</i> , <b>35</b> :751-760.							
	DG.	Dordal <i>et al.</i> , 1985, "The Role of Carbohydrate in Erythropoietin Action," <i>Endocrinol.</i> , <b>116</b> :2293-2299.							
	DH.	Dube <i>et al.</i> , 1988, "Glycosylation at Specific Sites of Erythropoietin is Essential for Biosynthesis, Secretion, and Biological Function," <i>J. Biol. Chem.</i> , <b>263</b> :17516-17521.							
	DI.	<i>Eur. Pharmacopoeia</i> , 1997, p. 5.							
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RND	DK.	Fukuda <i>et al.</i> , 1989, "Survival of Recombinant Erythropoietin in the Circulation: The Role of Carbohydrates," <i>Blood</i> , <b>73</b> :84-89.							

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pin	DL.	Garthoff, 1995, "Safety and Efficacy Testing of Hormones and Related Products," The Report and Recommendations of ECVAM Workshop 9, A.T.L.A., 23:699-711.
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	DN.	Goldwasser <i>et al.</i> , 1975, "An Assay for Erythropoietin <i>in Vitro</i> at the Milliunit Level," <i>Endo.</i> , 97:315-323.
	DO.	Goldwasser and Gross, "Erythropoietin: Assay and Study of Its Mode of Action," <i>Hormone Assays</i> , pp. 109-121.
	DP.	Hammond <i>et al.</i> , 1968, "Production, Utilization and Excretion of Erythropoietin: I. Chronic Anemias. II. Aplastic Crisis. III. Erythropoietic Effects of Normal Plasma," <i>Erythropoietin</i> , 149:516-527.
	DQ.	Horton <i>et al.</i> , 1991, "Von Hippel-Lindau Disease and Erythrocytosis: Radioimmunoassay of Erythropoietin in Cyst Fluid From a Brainstem Hemangioblastoma," <i>Neurology</i> , 41:753-754.
	DR.	Imai <i>et al.</i> , 1990, "Physicochemical and Biological Characterization of Asialoerythropoietin," <i>Eur. J. Biochem.</i> , 194:457-462.
	DS.	Keighley, 1968, "Further Experiences with Assays, Units, and Standards of Erythropoietin," <i>Annals New York Acad. Sci.</i> , 149:18-24.
	DT.	Kohama <i>et al.</i> , 2000, "Large Uterine Myoma with Erythropoietin Messenger RNA and Erythrocytosis," <i>Obstetrics and Gynecology</i> , 96:826-828.
	DU.	Lowy <i>et al.</i> , 1960, "Inactivation of Erythropoietin by Neuraminidase and by Mild Substitution Reactions," <i>Nature</i> , 185:102-103.
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	DW.	Miyake <i>et al.</i> , 1977, "Purification of Human Erythropoietin," <i>J. Biol. Chem.</i> , 252:5558-5564.
	DX.	Morrell <i>et al.</i> , 1968, "Physical and Chemical Studies on Ceruloplasmin," Metabolic Studies on Sialic Acid-Free Ceruloplasmin <i>In Vivo</i> , <i>J. Biol. Chem.</i> , 243:155-159.
	DY.	Nakamura <i>et al.</i> , 1998, "Elevated Levels of Erythropoietin in Cerebrospinal Fluid of Depressed Patients," <i>Am. J. Med. Sci.</i> , 315:199-201.
	DZ.	Shiramizu <i>et al.</i> , 1994, "Constitutive Secretion of Erythropoietin by Human Renal Adenocarcinoma Cells <i>in Vivo</i> and <i>in Vitro</i> ," <i>Exp. Cell Res.</i> , 215:249-256.
	EA.	Shore <i>et al.</i> , 1968, "Quantitative Estimation of Erythropoietin," <i>Annals New York Acad. Sci.</i> , 149:46-48.
	EB.	Spivak and Hogans, 1989, "The <i>In Vivo</i> Metabolism of Recombinant Human Erythropoietin in the Rat," <i>Blood</i> , 73:90-99.
	EC.	Storring <i>et al.</i> , 1998, "Epoietin Alfa and Beta Differ In Erythropoietin Isoform Compositions and Biological Properties," <i>British J. Haematology</i> , 100:79-89.
	ED.	Storring and Gaines Das, 1992, "The International Standard for Recombinant DNA-Derived Erythropoietin: Collaborative Study of Four Recombinant DNA-derived Erythropoietins and Two Highly Purified Human Urinary Erythropoietins," <i>J. Endocrinol.</i> , 134:459-484.
	EE.	Suzuki <i>et al.</i> , 2001, "Erythropoietin Synthesis by Tumour Tissues in a Patient With Uterine Myoma and Erythrocytosis," <i>British J. Haematology</i> , 113:49-51.
pin	EF.	Weiland <i>et al.</i> , "In vivo Activity of Asialo-Erythropoietin in Combination with Asialo-Glycoproteins," 1982, <i>Blut</i> , 44:173-175.
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					APPLICANT Brines et al.			
					FILING DATE November 21, 2000		GROUP 1646 <b>1647</b>	
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>pm</b>    <b>pm</b>	CM	5,756,349		Lin			<b>RECEIVED</b> <b>OCT 22 2001</b> <b>TECH CENTER 16</b>	
	CN	5,618,698		Lin				
	CO	5,955,422		Lin				
	CP	5,547,933		Lin				
	CQ	6,165,783		Weiss et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<b>OTHER REFERENCES</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER <b>R-M DeP...</b>					DATE CONSIDERED <b>10/21/02</b>			
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				APPLICANT Brines et al.		FILING DATE November 21, 2000	
				GROUP 4646-1647			
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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rw	EG	Anagnostou et al., 1994, "Erythropoietin receptor mRNA expression in human endothelial cells", Proc. Natl. Acad. Sci. USA 91:3974-3978					
	EH	Benyo and Conrad, 1999, "Expression of erythropoietin receptor by trophoblast cells in the human placenta", Biol. Reproduct. 60:861-870					
	EI	Bernaudin et al., 2000, Neurons and astrocytes express EPO mRNA: oxygen-sensing mechanisms that involve the redox-state of the brain", Glia 30:271-278					
	EJ	Ehrenreich et al., 2002, "Erythropoietin therapy for acute stroke is both safe and beneficial", Molec. Med., in press					
	EK	Farrell et al., 2001, "Erythropoietin crosses the blood brain barrier", Blood 98:148b (abstr. # 4265; 43 <sup>rd</sup> Annual Meeting of the American Society of Hematology, Orlando FL, Dec. 7-11, 2001)					
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	EU	Okada et al., 1996, "Erythropoietin stimulates proliferation of rat-cultured gastric mucosal cells", Digestion 57:328-332					
rm	EV	Sawyer et al., 1989, "Receptors for erythropoietin in mouse and human erythroid cells and placenta", Blood 74:103-109					

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RM	EW	Srivastava et al., 1999, "Erythropoietin can induce the expression of bcl-x <sub>L</sub> through Stat5 in erythropoietin-dependent progenitor cell lines", J. Biol. Chem. 274:22165-22169
	EX	Sirén et al., 2001, "Erythropoietin prevents neuronal apoptosis after cerebral ischemia and metabolic stress", Proc. Natl. Acad. Sci. USA 98:4044-4049
	EY	Westenfelder et al., 1999, "Human, rat and mouse kidney cells express functional erythropoietin receptors", Kidney Intl. 55:808-820
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